

Your Streamlined Solution:

Financial Advisor Transitions in 90 Days or Less

As a financial advisor, you need real, reliable support when you're transitioning from one firm to another. But the truth is, you've heard the guarantee of a transition team before, and most are little more than a call center and broken promises. The advisors we work with tell a different story.

Roadblocks to a Smooth Transition



Lack of administrative support



Doing everything yourself in hopes of saving money



Moving too fast



Companies that promise transition teams, but instead:



Provide no support pre-resignation



Support via a call center, not a transition team



Offer little or no support for average Joes

Introducing Cetera's Transition Plan: Real, Relational, Rewarding

Cetera's team provides a tested and pressure-washed plan to meet your unique needs. Here's who we are, and how we make it happen.

Real:

Our 90-in-90 Plan

Transition 90% of accounts in 90 days

Minimal disruption

Minimal stress



45 days

The Pre-Boarding Phase

What we do:



Offer support before you resign



Keep a strict eye on legal compliance

We help you:



Prepare your office



Develop a paperwork strategy

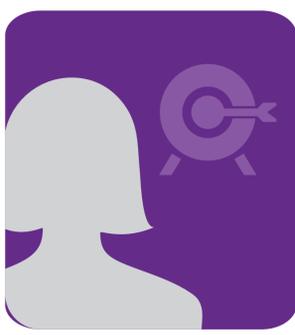


Get registered

Relational:

Introducing Your Transition Team

Each relationship manager has his/her own unique style.



Rewarding:

45 days or less

The On-Boarding Phase

Your only focus:

Getting client signatures

Work with our book of business specialists:



45-90 days

The Integration Phase



Access to our tools and technology allows you to build equity in your business.

Collaborate with our transition trainer:

Also at your service:

The Technology Titan

Specializes in technology, operations, and training.



4 Members of Pershing Operations

Dedicated to priority processing of Pershing business. Advisors in line for processing!



With Cetera, you'll be up and running and building your business in no time.